

## Declaration For Patent Application

### English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Chromium(VI)-Free Conversion Layer and Method for Producing It**

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority	Not Claimed
PCT/DE97/00800	PCT	18 April 1997
(Number)	(Country)	(Day/Month/Year Filed)
196 15 664.5	Germany	19 April 1996
(Number)	(Country)	(Day/Month/Year Filed)
(Number)	(Country)	(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Patricia Preikschat**

P. Perchard

Date \_\_\_\_\_

12.03.1999

Residence

**Untergasse 47, 65468 Trebur, Bundesrepublik Deutschland**

## Citizenship

Germany

Post Office Address

Same as above

**Rolf Jansen**

Dr. Ralf J.

Date \_\_\_\_\_

12.03.1999

Residence

Untergasse 47, 65468 Trebur, Bundesrepublik Deutschland

## Citizenship

Germany

Post Office Address

Same as above

## Peter Hulser

Per *Chil* RA

Date \_\_\_\_\_

12.3.99

Residence

**Untergasse 47, 65468 Trebur, Bundesrepublik Deutschland**

## Citizenship

Germany

Post Office Address

Same as above

Fourth inventor's signature

Date \_\_\_\_\_

Residence

## Citizenship

Post Office Address

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: P. Preikschat et al. : Art Unit:  
Serial No.: To Be Assigned : Examiner:  
Filed: Herewith :  
For: **CHROMIUM(VI)-FREE CONVERSION LAYER  
AND METHOD FOR PRODUCING IT**

**POWER OF ATTORNEY BY ASSIGNEE UNDER RULE 32**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The undersigned assignee of the entire interest in the above-identified patent application hereby appoints the following attorneys and/or agents, Viviana Amzel, Esq., Reg. No. 30,930; Jeffrey F. Craft, Esq., Reg. No. 30,044; Edward G. Poplawski, Esq., Reg. No. 33,439; and Mark E. Hankin, Esq., Reg. No. 38,908, to prosecute and transact all business in the US Patent and Trademark Office relating to this application, this appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of Rule 32 of the Patent Office Rules of Practice.

Please send all future correspondence, and direct all telephone calls to:

Viviana Amzel, Ph.D.  
PRETTY, SCHROEDER & POPLAWSKI  
444 South Flower Street- 19th Floor  
Los Angeles, CA 90071  
Ph. (213) 622-7700 Fax (213) 489-4210

Assignee: SurTec Produkte und System für die  
Oberflächenbehandlung GmbH

Signature: Patricia Preikschat Date: 12.03.1999

Name: Patricia Preikschat

Title: Managing Director

[illegible]

Applicant: Patricia Preikschat, et al.  
Serial No.: 09/171,558  
Filing Date: October 19, 1998  
Title: CHROMIUM(IV)-FREE CONVERSION LAYER AND METHOD  
FOR PRODUCING IT  
Docket: 31716

## 1

power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Charles B. Gordon, Reg. No. 16923  
William C. McCoy, Reg. No. 16885  
Richard H. Dickinson, Jr., Reg. No. 18622  
Thomas P. Schiller, Reg. No. 20677  
David B. Deima, Reg. No. 22841  
Joseph J. Corso, Reg. No. 25845  
Howard G. Shimola, Reg. No. 26232

Jeffrey J. Sopko, Reg. No. 27676  
John P. Murtaugh, Reg. No. 34226  
James M. Moore, Reg. No. 32923  
David B. Spaw, Reg. No. 34732  
Michael W. Garvey, Reg. No. 35878  
Paul R. Katterle, Reg. No. 36563  
Richard M. Mescher, Reg. No. 38242  
M. David Galin, Reg. No. 41767

all having the above designated address.

An executed Certificate Under 37 CFR 3.73(b) is attached hereto and incorporated herein by reference.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SURTEC PRODUKTE UND SYSTEM FUR DIE  
OBERFLACHENBEHANDLUNG GMBH

By Patricia Preikschat  
[signature]  
Print Name: Patricia Preikschat  
Print Title: Technical Director General Manager

Date: 11.05.1999

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Patricia Preikschat, Rolf Jansen and Peter Hülser

Application No.: 09/171,558 Filed: October 19, 1998

For: CHROMIUM(VI)-FREE CONVERSION LAYER AND METHOD FOR PRODUCING IT

SurTec Produkte und System für die Oberflächenbehandlung GmbH, a for profit organization chartered under the laws of the Federal Republic of Germany

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : 11. May 1999

Name : Patricia Preikschat

Title : ~~Technical Director~~ General Manager

Signature: Patricia Preikschat